

04772 U.S. PTO
08/22/03

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BUSINESS & INTELLECTUAL PROPERTY MATTERS

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03945 U.S. PTO
10/646585
08/22/03

August ³¹14, 2003

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Commissioner:

Enclosed is a patent application for:

Inventor: Narayan Srinivasa, Swarup S. Medasani, Yuri Owechko, Deepak Khosla
Application: Application-Specific Object-Based Segmentation and Recognition System
Attorney Docket No.: 1044-413-01

This application comprises of:

96 pages of specifications
10 pages of drawings
Utility Patent Application Transmittal
Declaration for Utility Patent Application
Nonpublication Request
Proof of Mailing
Self addressed postcard
A check in the amount of \$951.00

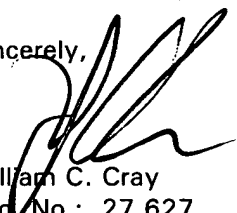
This amount is based on:

42 claims and 12 independent claims	\$375.00
22 claims in excess of twenty (\$9.00)	198.00
9 independent claims in excess of three (\$42.00)	378.00
TOTAL FILING FEE	\$951.00

Applicant claims small entity status

If you have any questions, please do not hesitate to contact me.

Sincerely,


William C. Cray
Reg. No.: 27,627

Enclosures

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	1044-413-01
First Inventor	Narayan Srinivasa
Title	Appl-Specific Object-Based Seg & Rec System
Express Mail Label No.	

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 95]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 10]
5. Oath or Declaration [Total Pages]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____/_____

Prior application information:

Examiner: _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

Name	William C. Cray				
Address	Goodman & Cray				
	414 Fourth Street, Suite A				
City	Santa Ana	State	CA	Zip Code	92701
Country	USA	Telephone	714-836-0200	Fax	714-836-0400

Name (Print/Type)	William C. Cray	Registration No. (Attorney/Agent)	27,627
Signature		Date	08/21/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor: Narayan Srinivasa

Title: **Application-Specific Object-Based Segmentation and
Recognition System**

Atty Docket Number: 1044-413-01

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S. C. 122(b).

08/21/03

Date



Signature

WILLIAM C. CRAY

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

CERTIFICATE OF MAILING UNDER 37 CFR § 1.10

Application: Application-Specific Object-Based Segmentation and Recognition System
Conversion: This application claims the benefit of U.S. Provisional Application No.
60/405,346
Docket No.: 1044-413-01

I hereby certify that the following attached correspondence comprising of:

95 pages of Specification
10 pages of Drawings
2 cd-roms containing applicable software
Utility Patent Application Transmittal
Declaration for Utility Patent Application
Nonpublication Request
Correspondence to the Commissioner
Self-addressed (return-receipt) Postcard
A check in the amount of \$951.00

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Mail Stop – Conversion Patent Applications
Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Express Mail Label Number: **EU630670399US**
Date of Deposit: August 22, 2003



Michelle R. Manu
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